

SUBSTITUTE FORM PTO-1449
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANT
Xuehai En et al.FILING DATE
July 1, 1999

GROUP

(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AE						
AE						
AE						
AE						
AE						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

AQ	Elton et al., The Persistence of Risk-Adjusted Mutual Fund Performance, Journal of Business 69:133-157 (1996)
AR	
AS	

EXAMINER

/Richard Weisberger/

DATE CONSIDERED

7/18/2007

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH /R.W./